#### **PATENT**

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul C. Wacker et al.

Serial No.: 10/643,430

Examiner: unknown

Filing Date:

August 18, 2003

Group Art: unknown

For:

PDA DIAGNOSIS OF THERMOSTATS

Docket No.: H0005400 (1161.1127101)

#### TRANSMITTAL SHEET

Assistant Commissioner for Patents PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 12th day of January, 2004.

By: //// John G. Shudy, Jr.

We are transmitting herewith the attached:

[X] Information Disclosure Statement, Form PTO-1449 and 15 cited non-US patent

references.

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[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to

Deposit Account No. 50-0413.

John G. Shudy, Jr., Reg. No. 31,214

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, Minnesota 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

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## THE THIE WINITED STATES PATENT AND TRADEMARK OFFICE

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### INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

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Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 12th day of January, 2004.

By: John G. Shudy, Jr.

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,
Paul C. Wacker et al.
By their attorney,

Date: 1-12-04

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•	FORM PTO-1449	yC97	Atty. Docket No.: H0005400	Serial No.: 10/643,430
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			Applicant: Paul C. Wacker et al.	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION				
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			August 18, 2003	unknown

### U.S. PATENT DOCUMENTS

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	2002/0011923A1	01/31/02	Cunningham et al.	
	2002/0022991A1	02/21/02	Sharood et al.	
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	6,149,065	11/21/00	White et al.	
	6,154,681	11/28/00	Drees et al.	
	6,167,316	12/26/00	Gloudeman et al.	

**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 2 of 3	FORM PTO-1449	JAN 1 6 2004 L	Atty. Docket No.: H0005400 (1161.1127101)	Serial No.: 10/643,430
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- -	DISCLOSURE STATEMEN		Filing Date:	Group Art:
			August 18, 2003	unknown

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
	6,453,687	09/24/02	Sharood et al.	
	6,518,953	02/11/03	Armstrong	
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# OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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Page 3 of 3	FORM PTO-1449	E3.	Atty. Docket No.: H0005400 (1161.1127101)	Serial No.: 10/643,430
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DATE CONSIDERED: